



*Receipt #4*  
Atty. Docket No. GEN10 P-333

CERTIFICATE OF MAILING

I hereby certify that this paper, together with all enclosures identified herein, are being deposited with the United States Postal Service as first class mail, addressed to the Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington D.C. 20231, on the date indicated below.

4/3/01  
Date

Rebecca A. Westers  
Rebecca A. Westers

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : John K. Roberts et al.  
Appln. No. : 09/723,675  
Filing Date : November 28, 2000  
Art Unit : 2875  
Confirmation No. : 6008  
For : LIGHT EMITTING ASSEMBLY

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Dear Sir:

REQUEST FOR CORRECTED FILING RECEIPT

Attached is a copy of the official filing receipt received from the Patent and Trademark Office in the above application for which issuance of a corrected filing receipt is respectfully requested. There is an error in that the continuing data and Applicant information are incorrectly entered and the filing receipt should read as follows:

“John K. Robert” should be --John K. Roberts--.

“WHICH IS A CON OF 08/644,055 05/10/1996 ABN\* (\*) Data inconsistent with PTO records.” should be --WHICH IS A CON OF 08/664,055 06/13/1996 PAT 5,803,579--

RECEIVED  
AUG -8 2001  
TC 2300 MAIL ROOM

Applicant : John K. Roberts et al.  
Appln. No. : 09/723,675  
Page : 2

The correction is not due to any error by Applicant and no fee is due.

Respectfully submitted,

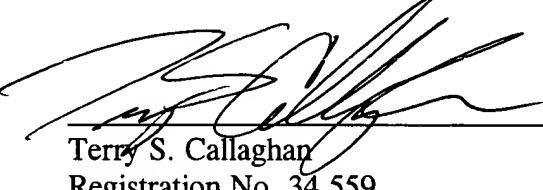
JOHN K. ROBERTS ET AL.

By: Price, Heneveld, Cooper,  
DeWitt & Litton

4-3-2001

Date

TSC/rsw

  
Terry S. Callaghan  
Registration No. 34 559  
695 Kenmoor, S.E./Post Office Box 2567  
Grand Rapids, MI 49501  
(616) 949-9610

TSC



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/723,675	11/28/2000	2875	2924	GEN10 P-333	27	103	12

APR 06 2001  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 000277  
 PRICE HENEVELD COOPER DEWITT & LITTON  
 695 KENMOOR, S.E.  
 P O BOX 2567  
 GRAND RAPIDS, MI 49501

CONFIRMATION NO. 6008

## FILING RECEIPT



OC000000005905997\*

Date Mailed: 03/27/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

John K. Robert, East Grand Rapids, MI;  
 Robert R. Turnbull, Holland, MI;  
 Robert C. Knapp, Coloma, MI;  
 Kathy E. Roberts, East Grand Rapids, MI;

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 09/604,056 06/26/2000  
 WHICH IS A CON OF 09/148,375 09/04/1998 PAT 6,132,072  
 WHICH IS A CON OF 08/644,055 05/10/1996 ABN \*  
 (\*) Data inconsistent with PTO records.

RECEIVED  
AUG - 8 2001  
TIC 2800 MAIL ROOM

## Foreign Applications

If Required, Foreign Filing License Granted 03/26/2001

Projected Publication Date:

Non-Publication Request: No

Early Publication Request: No

**Title**

Light emitting assembly

**Preliminary Class**

362

---

Data entry by : SANDARA, HOA

Team : OIPE

Date: 03/27/2001



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

**RECEIVED**

MAR 30 2001

PRICE, HENEVELD, COOPER  
DEWITT & LITTON  
PER \_\_\_\_\_